

MAY 15 2018

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LEGISLATIVE RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

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A \$200 penalty shall be assessed against any individual who files more than 30 days late.

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b>		<b>FORM B</b>	
<b>FINANCIAL DISCLOSURE STATEMENT</b>		For New Members, Candidates, and New Employees	
Name: Christopher C. Pappas		Daytime Telephone: _____	
<b>FILER STATUS</b>	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: NH District: 1st Candidates - Date of Election: November 2018	<input type="checkbox"/> Check if Amendment
	<input type="checkbox"/> New Officer or Employee Employing Office: _____	Staff Filer Type (If Applicable): Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A. Did you, your spouse, or your dependent child:</b> a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>or</b> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</b>		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</b>	
<b>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</b>		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</b>	
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS**

<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher C. Pappas

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BLOCK A			BLOCK B													BLOCK C								BLOCK D																								
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income																								
<p>Identify (a) each asset held for investment, or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>*Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>								<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>*Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>																								
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year												
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
		Magia Corp Stock																																														
		Simon & Schuster																																														
		ABC Hedge Fund																																														
		Rental Property, Manchester NH	X															X																														
		Pilgrim Realty																																														
		Puritan Confectionery																																														
		BOA Money Market		X																																												
		BOA Savings - **25																																														

Use additional sheets if more space is required.

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**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME:** Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

[illegible]

# **SCHEDULE D – LIABILITIES**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	C. Stergiou	1/2016	Stock Purchase						X					
	A. Pappas	12/2005	Stock Purchase				X							
	Merrimack Mortgage Company	3/2015	Mortgage, Manchester, NH				X							
	Puritan Confectionery	4/2018	Personal Loan			X								

## **SCHEDULE E – POSITIONS**

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Vice President & Director	Puritan Confectionery Co., Inc.
Member	Pilgrim Realty, LLC
Treasurer	Manchester Historic Association
Board Member	The Mary Gale Foundation
Board Member	Pearl Manor Fund, Elliot Hospital
Board of Overseers	St. Paul's Advanced Studies Program

**SCHEDULE F – AGREEMENTS**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
1/1/12	Puritan Confectionery Co., Inc.	Shareholder Buyout Agreement
1/2/2014	Pilgrim Realty, LLC	Member Buyout Agreement

**SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
Puritan Confectionery Co., Inc., Manchester, NH		Restaurant Manager
State of New Hampshire		Executive Council - Constituent Representation

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Christopher C. Pappas Schedule A Additional Sheet  
Assets & "Unearned Income"

**Schedule A**

**H - IRA Mutual Funds**

<b>Asset and/or Income Source</b>	<b>Value of Asset</b>
American Funds Smallcap World Fund,	\$15001-\$50000
MFS International Value I,	\$1001-\$15000
AllianzGI NFJ Mid-Cap Value A,	\$1001-\$15000
AB Large Cap Growth Fund,	\$1001-\$15000
American Beacon Bridgeway Large Cap Value Fund,	\$1001-\$15000
American Funds The Growth Fund of America,	\$1001-\$15000
American Beacon SiM High Yield Opportunities Fund Y Class,	\$1001-\$15000
Fidelity Advisor Emerging Markets Income Fund Class I,	\$1001-\$15000
American Funds New World Fund Class A,	\$1001-\$15000
Harbor Small Cap Value Fund Institutional Class,	\$1001-\$15000
En Trust Permal Alternative Core Fund Class I,	\$1001-\$15000
AIG Focused Dividend Strategy Fund Class W,	\$1001-\$15000
Oakmark International Advisory Fund,	\$1001-\$15000
Principal Mid-Cap Fund Institutional Class,	\$1001-\$15000
Victory Sycamore Established Value Fund Class I,	\$1001-\$15000
Virtus Vontobel Emerging Markets Opportunities Fund Class I,	\$1001-\$15000
Oppenheimer Developing Markets Fund Class Y,	\$1001-\$15000
Natixis ASG Managed Futures Strategy Fund Class Y,	\$1001-\$15000
AQR Managed Futures Strategy Fund Class I,	\$1001-\$15000
Columbia Bal Instl CI I,	\$1001-\$15000